

### ABOUT THIS HANDBOOK

This handbook describes the requirements for obtaining and maintaining RBT certification. Applicants and certificants must comply with the requirements outlined in this handbook. Failure to do so may result in the BACB taking summary action (e.g., suspension, revocation, invalidation) against your eligibility or certification and/or submitting a Notice of Alleged Violation to the BACB Ethics Department.

This handbook contains clickable images that link directly to BACB documents. To help with navigation, this handbook also includes PDF bookmarks and a clickable Table of Contents icon (E) on the corner of each page. New versions of the RBT Handbook will be published quarterly as needed. Applicants completing requirements in a future year should make note of upcoming implementation dates for revised requirements. as they must meet the requirements in place at the time they apply and take the version of the examination in effect when they schedule their examination appointment. Please refer to the BACB Newsletter and the Upcoming Changes web page for the most updated information on future certification requirements.

The BACB does not discriminate against any individual because of race, ethnicity, gender, age, creed, disability, religion, marital status, sexual orientation, or national origin. Allegations of discrimination can be reported via our Administrative Appeal Request Form.

For a complete list of updates included in this version of the RBT Handbook, please see page 40.

The BACB reserves the right to amend the procedures outlined in this handbook.

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### **Overview**

### About the Behavior Analyst Certification Board

The Behavior Analyst Certification Board, Inc.® (BACB®) has been the leader in behavior analyst certification for over two decades. The BACB is a nonprofit corporation that was established in 1998 to meet professional certification needs identified by behavior analysts, governments, and consumers of behavior-analytic services. The BACB's mission is to protect consumers of behavior-analytic services by systematically establishing, promoting, and disseminating professional standards of practice.

In the profession of applied behavior analysis, the BACB's primary role is to operate certification programs, which involves responsibilities similar to regulatory boards. In this role, the BACB establishes practice standards, administers examinations, and provides ethics requirements and a disciplinary system for each of its certification programs. To learn more about our role and limitations, read our blog post, The BACB: What it is, What it Does, and Why, and listen to Episode 11 of our podcast, Inside the BACB.

### What Is a Registered Behavior Technician?

The Registered Behavior Technician (RBT) is a paraprofessional certified in behavior analysis. RBTs assist in delivering behavior-analytic services and practice under the direction and close supervision of an RBT Supervisor and/or an RBT Requirements Coordinator, who are responsible for all work RBTs perform.

RBT certification was originally designed for settings in which a BCBA or BCaBA is already providing behavior-analytic services. Thus, applying to become an RBT is most likely to occur after the applicant has been employed by the organization in which they will continue their work assisting qualified BCBAs and/or BCaBAs in their service-delivery activities.

Note: In the interest of consumer protection and to ensure that the BACB certification mark is only used to accurately represent certification status, the BACB may seek legal and other remedies to address any misrepresentation of BACB certification (and/or any misuse of other BACB trademarks and logos) that constitutes trademark infringement. For more information about the use of BACB certification marks, see the September 2008, October 2013, and July 2021 BACB Newsletters.

#### Jurisdiction

The BACB currently accepts certification applications from individuals regardless of their country of residence. Effective January 1, 2023, only individuals who reside in the United States (US), Canada, Australia, and the United Kingdom (UK)\* may apply for BACB certification. For answers to frequently asked questions about this change, see the FAQs About the 2023 International Changes blog post.

#### Accreditation



The BCBA®, BCaBA®, and RBT® certification programs are accredited by the National Commission for Certifying Agencies (NCCA), the accreditation body of the Institute for Credentialing Excellence (ICE). NCCA's Standards for the Accreditation of Certification Programs were the first standards developed for professional certification programs to help ensure the health, welfare, and safety of the public. NCCA standards articulate the essential elements of a high-quality certification program. Consistent with these standards, the

BACB's certification requirements, examination content, and procedures undergo regular review by subject matter experts in the discipline. To learn more about accreditation, see ICE's video, Value of Accreditation: Why it Matters.

<sup>\*</sup> Individuals who reside in the UK may continue to apply for BACB certification through 2025. This date may be moved earlier if the UK Society for Behaviour Analysis seeks national recognition for UK behavior analysts, develops its own credentialing system, and transitions current BACB certificants into the UK system.



### Why Should You Become an RBT?

There are many benefits to obtaining RBT certification, including:

- · It shows that you have met certain requirements that are critical in delivering behavior analysis services.
- It provides increased consumer protection since you must meet certain eligibility (e.g., pass a criminal background check and an abuse registry check, meet certain knowledge requirements) and maintenance (e.g., receive ongoing supervision, adhere to an ethics code) requirements to obtain and maintain certification.
- It makes it easier for employers, funders, consumers, etc. to verify that you have certain knowledge, skills, and abilities; have appropriate oversight; and are not under disciplinary sanctions.
- · It is a widely recognized and respected credential since it is offered by the BACB, the nation's first organization to certify behavior analysis professionals.



# **Eligibility Requirements**

To earn RBT certification, you must meet certain eligibility requirements and pass the RBT certification exam. These requirements were established to ensure that entry-level behavior technicians have demonstrated the knowledge, skills, and abilities necessary for delivering behavior analysis services under the supervision of a qualified supervisor.

To apply, you must:

- be at least 18 years old
- have completed high school-level education or equivalent
- pass a criminal background check and an abuse registry check
- obtain 40 hours of qualified training
- · successfully complete an RBT initial competency assessment



You must have ongoing supervision from an RBT Supervisor or RBT Requirements Coordinator to practice as an RBT after you pass the certification examination. We recommend applying once you have identified a work setting where you will receive appropriate supervision.

Once you meet the eligibility requirements:

- Submit an RBT certification application (see the Applying to be an RBT section).
- Once your application is approved, you must pass the RBT certification examination (see the Examination section).



### Age

You must be at least 18 years old when you submit your RBT certification application.



Demonstrate that you meet this requirement by having your RBT Supervisor or RBT Requirements Coordinator confirm your age as part of your RBT certification application. Please note that your RBT Supervisor/Requirements Coordinator is not required to confirm your age via documentation if such a review has been conducted by the organization employing you that proves you are at least 18 years of age.

#### Education

You must have at least a high school-level education or the equivalent when you apply for RBT certification.



Demonstrate that you meet this requirement by uploading a high school diploma or transcript that reflects a graduation date with your RBT Certification Application. You may also submit a transcript from a post-high-school institution (e.g., a university) demonstrating that you have been able to enroll in at least one course.

If you reside in a country that does not offer a high-school-equivalent diploma, you must provide documentation that shows you remained in full-time education for your country's required duration. This documentation must be translated into English using an official translation service.

## **ELIGIBILITY REQUIREMENTS**



### **Background Check**

Within 180 days of paying for your RBT certification application, you must complete and pass a criminal background check and an abuse registry check comparable to those required of home health aides, child care professionals, and teachers in the community where you will provide services.



**Demonstrate that you meet this requirement** by having your RBT Supervisor or RBT Requirements Coordinator confirm that you passed a criminal background check and an abuse registry check as part of your RBT certification application. Please note that your RBT Supervisor/ Requirements Coordinator is not required to confirm that you passed a criminal background check and an abuse registry check if such a review has been conducted by the organization employing you that proves you were suitable for employment. In the event of an audit, your RBT Supervisor/Requirements Coordinator must provide documentation to support their attestation.

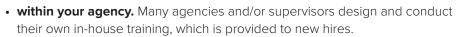
### **Training**

You must complete a 40-hour training that meets the requirements outlined in the RBT 40-Hour Training Packet, which includes training on the RBT Task List (2nd ed.), RBT Ethics Code (2.0), and ongoing supervision.

The training:

- must be overseen by a qualified BACB certificant
- may be completed at any time before applying

While the BACB does not provide this training, you can find a 40-hour training program:





RBT 40-Hour Training Packet

- through a training company. There are several companies that offer live or online courses, such as those in the RBT Examination Pass Rates for 40-Hour Training Providers: 2020 report, in which anyone can enroll.
- through university coursework. Many programs embed this training within their courses and offer training certificates for use toward RBT applications.



**Demonstrate that you meet this requirement** by including a copy of your 40-hour training certificate with your RBT certification application. Your training provider will provide this certificate when you complete a 40-hour training program. Check with your instructor to confirm that your course was designed to meet this requirement.

### **Initial Competency Assessment**

After you complete the required 40-hour training, you must demonstrate that you can competently perform the tasks outlined on the RBT Initial Competency Assessment.

The assessment must be:

- overseen by a qualified BACB certificant who meets the supervision requirements
- completed after you successfully finish the required 40-hour training
- completed no earlier than 90 days prior to submitting payment for your RBT certification application



**Demonstrate that you meet this requirement** by completing the RBT Initial Competency Assessment with your assessor and uploading the completed assessment with your RBT certification application.



**RBT Initial Competency** Assessment



# Applying to Become an RBT

To apply for RBT certification, complete the steps below:



#### Create a BACB Account

You will need to enter some basic information about yourself to create a BACB account.

Enter your full name exactly as it appears on your government-issued identification. If it does not match exactly, you will not be able to take the examination. Name changes require review by BACB staff, so a name change could delay your ability to take the examination.

Use a personal email address and not a work email address. Important notifications and reminders are sent to this address, so if you switch employers, you will not receive reminders, correspondence, or password resets.

Set up the profile yourself and do not share your account information with anyone else, including your employer. This is your certification—no one else should be managing it for you. The contact information you provide must include your current address and phone number.

**Update your information** in your BACB account as soon as changes occur (e.g., name change, address change).

### 2. Complete the Certification Application

If you meet all the eligibility requirements, complete the following steps to apply for RBT certification at any time:

Step	Responsible Person
1. Log into your BACB account and select the "RBT" tab. Click on the "apply for RBT credential" link.	
Note: Certification applications are active for 90 days. If you do not meet all of the requirements within the 90 days, you must reapply and pay the fee for a new application. We highly recommend waiting to apply until you have met all of the eligibility requirements.	Applicant



Save your account information in a secure location. You will need to log into this account to maintain your RBT certification once you have obtained it.

# **APPLYING TO BECOME AN RBT**



Step	Responsible Person
2. Upload the required documents:  a. certificate of completion for the RBT 40-hour training b. completed RBT Initial Competency Assessment c. copy of a transcript or diploma verifying your completion of a high school degree or equivalent  Note: Ensure that the information you submit is accurate and truthful (see the consequences for submitting inaccurate information in the Submission of False, Forged, or Untrue Information to the BACB section).  When you submit your application, you will be asked to provide attestations in the Eligibility Affidavit section of the application and agree to the Application Processing Agreement. You will be asked to (a) affirm that you have read, are in compliance with, and will continue to comply with all BACB requirements, (b) report whether you have	Applicant
<ul> <li>a physical or mental health condition or substance use disorder that could impair your ability to safely and competently work as a behavior technician, and (c) report any relevant disciplinary or legal investigations or actions. Please read each question and answer truthfully. Answering "yes" to the second or third question will require that you also complete and submit an <a href="Ethics Self-Reporting Form">Ethics Self-Reporting Form</a>. Your application will be placed on hold while your self-report is reviewed by BACB staff.</li> <li>Identify an RBT Supervisor or RBT Requirements Coordinator (see the <a href="Supervision Requirements section">Supervision Requirements Section</a> to determine who qualifies to serve in</li> </ul>	Applicant
this role).  4. The RBT Requirements Coordinator or RBT Supervisor will complete their portion of your application in their BACB account, which includes:	Applicant
a. confirmation that you are at least 18 years old b. confirmation that you completed and passed your criminal background check and abuse registry check within 180 days of the date you applied for certification c. confirmation of their willingness to provide you with ongoing supervision  The application will be in your RBT Supervisor's/RBT Requirement Coordinator's	RBT Supervisor or RBT Requirements Coordinator
BACB account after you submit the first portion, and they will receive an email notification with instructions. If they are unable to see your application in their account, please contact the BACB.	
5. After the RBT Supervisor or RBT Requirements Coordinator completes their portion of your application, you will receive instructions via email for completing the remainder of your application, including paying the application processing fee and agreeing to the application processing agreement.	Applicant
6. Before contacting the BACB about your application, allow two weeks for BACB staff to process your application. Applications are processed in the order in which they are received.	BACB Staff

### APPLYING TO BECOME AN RBT



Step	Responsible Person
7. If your application is:	
<ul> <li>Approved: You will receive instructions via email for scheduling your RBT certification examination.</li> <li>Not approved: You will receive feedback and guidance on next steps via email. If you do not meet the requirements within 90 days of submitting your application, you must submit a new RBT certification application, including paying another certification application processing fee.</li> <li>Note: After submitting your certification application you will receive feedback on whether your documentation has demonstrated your eligibility under BACB certification requirements. BACB staff will not provide a rationale for the decision beyond what is included in your feedback email (i.e. staff will not explain the review process to you). If you disagree with the application determination and believe that your submitted application materials were rejected in error, you may review our Administrative Appeals Policy to determine if a second, independent review of your application is warranted. If appropriate, you will need to submit an appeal within 30 days of the determination.</li> </ul>	BACB Staff/ Applicant

### 3. Schedule the Examination

Once your application is approved, you will receive an email with instructions on how to schedule your RBT certification examination with Pearson VUE. The examination is continuously available and results are provided immediately on-site upon completion. Except for candidates residing outside of the US, Canada, Australia, and the United Kingdom, you have one year from the date your application is approved to sit for the RBT examination. Your BACB account will include your specific testing deadlines. If you do not pass the examination and you have time remaining in your one-year authorization period, you may take the examination starting seven days after your last examination attempt. Once your one-year authorization has expired, you must reapply and meet the eligibility requirements applicable at that time.

Note: As of January 1, 2022, BACB examinations are only offered in English due to revisions to the BACB's international focus. In addition, all examination authorizations for candidates residing outside of the US, Canada, Australia, and the United Kingdom will end on December 31, 2022. However, if you have an open examination authorization period as of January 1, 2022, you may continue testing in English until December 31, 2022. For more information regarding these updates, please review the International Development & Support web page.

#### Fees\*, \*\*

Application Fees	
Certification Application Processing Fee (paid to the BACB)	\$50
Exam Appointment (paid to Pearson VUE)	\$45

<sup>\*</sup> BACB fees are nonrefundable.

<sup>\*\*</sup> Veterans who qualify for the GI Bill can receive reimbursement for BACB certification examination fees from the Department of Veterans Affairs, regardless of whether they pass the examination. Learn more about this benefit on the GI Bill website.



### **Examination**

Once you meet all applicable eligibility requirements, submit your application, and receive an approved determination, you must take and pass the RBT certification examination. This examination assesses your knowledge of the RBT Task List (2nd ed.) at a level consistent with that of an entry-level behavior technician.

Note: Candidates permitted to take the RBT examination will test under the current edition of the task list. If a new edition goes into effect while a candidate's authorization period is active, they must test under the new edition. Exceptions will not be granted.

### **Examination Development**

Job task analysis (JTA) is the predominant method for developing high-stakes examinations for professions, and it has been used in the development of all BACB examinations. The JTA process involves the development of the following: a draft task list, a content-validation survey of the profession, and a new examination blueprint (also known as a task list or test content outline). The RBT examination is currently based on the 2nd edition of the RBT Task List.

### Preparation

The BACB recommends that you prepare for the RBT examination by reviewing the RBT Task List (2nd ed.) and the materials gathered from your 40-hour training and Competency Assessment. The BACB cannot endorse specific study guides or other preparation materials, and the purchase of any study materials does not guarantee that you will pass the examination.

#### **Examination Outline**

The RBT examination comprises 85 multiple-choice questions, 10 of which are unscored. Each question has 4 possible answers and only 1 correct answer. Candidates have 90 minutes to complete the examination.

Content Area	Number of Questions	Percentage of Examination*
A. Measurement	12	16%
B. Assessment	6	8%
C. Skill Acquisition	24	32%
D. Behavior Reduction	12	16%
E. Documentation and Reporting	10	13%
F. Professional Conduct and Scope of Practice	11	15%
Total	75	100%

<sup>\*</sup> Percentages in this table have been rounded.



#### Sample Examination Questions

The following retired questions appeared on previous administrations of the RBT examination and represent content areas that are currently on the examination. These questions are provided to offer candidates insight into the format of the RBT examination.

- 1. Over the course of the day, an RBT notices that a student is requesting more bathroom breaks than usual. His BCBA tells him to collect data on requests the next day. Which type of data collection makes the most sense?
  - a. latency
  - b. duration
  - c. count
  - d. momentary time sampling
- 2. An RBT has been assigned to work with a new child. At the start of the first home session, the child hides behind his mother and will not approach the RBT.

Which is the BEST option for the RBT given this situation?

- a. conduct a role-play session with the mother acting as the child
- b. remain at a distance from the child and prompt the child to play
- c. engage in activities that were identified as preferred by the child
- d. ask the mother to leave the room and begin the planned instruction
- 3. Why is it important to vary reinforcers as much as possible?
  - a. to prevent reinforcer satiation
  - b. to reduce emotional responding
  - c. to maintain accurate responding
  - d. to establish motivation
- Meredith is an RBT who works in a residential facility that primarily serves adults with intellectual disabilities. This facility has recently opened a new unit for children. Meredith has been assigned to this unit due to her effectiveness in reducing aggression and self-injurious behaviors on other units in the past. A child that Meredith works with in the new unit has lost eight pounds due to food refusal in the last three weeks.

What is Meredith's BEST course of action regarding this child?

- a. refer the child to the speech and occupational therapists for evaluation of oral motor and swallowing disorders
- b. ask the supervising BCBA or BCaBA to observe the child with her during meal times
- c. read published articles about food refusal
- d. attend a workshop on food refusal
- In the service delivery system, who is typically responsible for the direct implementation of treatment plans?
  - a. RBT
  - b. BCBA
  - c. BCaBA
  - d. BCBA-D



### Scheduling

The BACB contracts with Pearson VUE to deliver the RBT examination in person and via online proctoring. For those who wish to test in person, the examination is offered at authorized Pearson VUE testing sites and US military bases worldwide that meet the security requirements necessary for the administration of high-stakes examinations. The BACB authorizes the use of testing sites in multiple countries and evaluates the addition of new sites routinely and by requests made through Pearson VUE's website. For those who are authorized and wish to test remotely, the examination is offered via Pearson VUE's online-proctoring platform, OnVUE.

Due to typical examination-security concerns associated with remote testing, the BACB restricts remote testing in select geographic regions as needed. Remote testing is currently restricted in the following countries and US states:

- · China
- Cuba
- Iran
- Japan
- North Korea
- Sudan
- · Florida, United States

These countries and states still offer in-person testing. Candidates who test or attempt to test remotely in restricted countries or states will have their examination results invalidated and will be subject to disciplinary action. The BACB reserves the right to require any candidate to test in person at an approved testing center for any reason.

You can schedule an RBT examination in your Pearson VUE account or by contacting Pearson VUE's customer service center. If you plan to retake the examination after an unsuccessful attempt, you must wait 7 days following your previous attempt. Please note that if you request examination accommodations, you will receive a separate email from accommodations@bacb.com with details on how to schedule your examination.

Note: As of January 1, 2022, all BACB examinations are only offered in English due to revisions to the BACB's international focus. In addition, effective January 1, 2023, new BACB certifications will only be available to residents of the following authorized countries: the US, Canada, Australia, and the UK. As such, individuals with open examination authorization periods who do not reside in an authorized country are not permitted to schedule and/or sit for a BACB examination after December 31, 2022. For more information, please visit the International Development & Support web page.

#### **Pearson VUE Examination Fees**

Pearson VUE charges \$45 for every RBT examination appointment. This fee is in addition to BACB application fees, and Pearson VUE will collect it at the time of scheduling. You may be required to pay applicable sales tax, value-added tax, GST, or similar tax required by law in the country or locality in which you are testing. If taxes are applicable, Pearson VUE will collect them at the time of scheduling.

Any appointment canceled or rescheduled within 30 days of the examination date will be subject to a fee. If you are within 48 hours of your appointment, you will be unable to cancel or reschedule your appointment, and a refund will not be issued. Please note that all fees are nonrefundable and nontransferable.

Cancelation and rescheduling fees are as follows:

Cancelation/Rescheduling Time Period	Cancelation/Rescheduling Fee
5 days–48 hours before examination appointment	\$32
30 days-5 days before examination appointment	\$27
31 days or more before examination appointment	no fee



#### **Examination Accommodations**

Examination accommodations are available for individuals with a current disability as defined by the Americans with Disabilities Act (ADA) or a comparable human rights law in their country. Accommodation requests must be submitted via the BACB Examination Accommodation Request Form and must include appropriate documentation from a qualified professional (e.g., physician, school official, licensed psychiatrist, licensed psychologist).

Appropriate documentation must identify the disability and state the need for the requested accommodation(s). Examples of appropriate documentation include official letters, reports of test results, and documentation of past accommodation(s) received, including accommodation(s) received for standardized testing (e.g., previous Individualized Education Plan [IEP], documentation from another organization similar to the BACB).

All documentation must be compiled into a single PDF file and submitted through the Submit Accommodations Documentation web page. Once the accommodation request and supporting documentation are received, the BACB will conduct a review, which typically takes 10-14 days. The BACB may require additional documentation, which can result in longer processing times.

Individuals should not attempt to schedule their examination until they receive an email about the status of their accommodation request and how to schedule their examination. Please note that certification applications and accommodation requests are reviewed separately, and the approval of a certification application does not equal the approval of an accommodation request.

### What to Expect on Examination Day

At all Pearson VUE testing centers, the RBT examination is administered using a computer-based testing (CBT) format. For additional information, visit Pearson VUE's BACB Certification Testing web page, which offers the following:

- a tutorial to help you become familiar with the CBT format,
- · details about what to expect at a Pearson VUE testing center, and
- · testing center locations.

Pearson VUE's online-proctoring platform, OnVUE, can administer the RBT examination in most locations, as long as the room is secure, and you have a stable Internet connection. Before taking the RBT examination remotely, you must do the following:

- run a system check (visit Pearson VUE's Online Testing for Behavior Analyst Certification Board [BACB] web page for more information or to run a system check),
- ensure that you are in a secure, quiet, distraction-free area, and
- · have a valid ID.

Be sure to verify that you can satisfy all computer, Internet-connection, and workspace requirements BEFORE scheduling an OnVUE examination. If you cannot meet these requirements for any reason, you should schedule an in-person examination at a Pearson VUE testing center.

#### General Rules for Taking the Examination at a Pearson VUE Testing Center

- You are advised to arrive at the testing center approximately 30 minutes before your examination appointment to allow sufficient time for the check-in process. This process includes security measures, such as photographing, palm vein scanning, and obtaining an electronic signature from each candidate. Once an examination has been underway for 30 minutes, no candidates will be admitted into the examination area.
- You must provide TWO forms of valid identification to be admitted into the examination area. Both pieces of identification must show your first and last name exactly as they appear in your BACB account. Any name discrepancy will prevent admission to the examination (e.g., providing a nickname on your BACB account, identification that shows a different last name due to marriage). Pearson VUE does not make exceptions for name discrepancies based on marriage certificates.



- Both pieces of identification must have your signature and be current (i.e., not expired). The primary identification must be government issued and include both a photo and signature (e.g., a driver's license or state/national ID). The secondary identification must include a signature but need not include a photo (e.g., a signed credit card with a signature that matches the government-issued identification).
- · Failure to present two fully valid pieces of identification will prevent your admission into the testing center. If this happens, you will be marked absent and will forfeit the entire examination fee.
- No cameras, phones, recording devices, beepers or electronic-transmitting devices, notes or reference materials, textbooks, briefcases, backpacks, portfolios, or purses, among others, may be taken into the examination area. Personal items may be stored in lockers available at all testing centers.
- Scratch paper, calculators, and rulers are NOT permitted in the examination area, and you are not allowed to remove any examination materials from the administration room. A dry-erase board will be provided at each workstation.
- Earplugs are available upon request at all testing centers, and some provide noise-canceling headphones upon request.
- No food or beverage items are permitted in the examination area.
- No visitors are permitted in the examination area.
- If you leave the examination area to use the restroom, you will go through palm vein scanning when you leave and again before you reenter. During breaks, you are prohibited from accessing phones, electronic devices, or notes, among other items, stored in lockers. However, you are permitted to access food, drink, and medication during breaks.
- Smoking areas are not provided at testing centers.
- No questions concerning the examination's content may be asked during the examination period. Please listen carefully to instructions from testing-center staff and read all directions thoroughly
- BACB examinations are delivered via the Internet and, occasionally, Internet connectivity at Pearson VUE testing centers may result in technical problems (e.g., slow screen-load times, screen freezes), which may require rebooting the computer. Answers and examination times (time allotted and time used) are not affected by these technical issues, even in the case of a computer restart.

#### General Guidelines for Taking the Examination Online via OnVUE

- · You are advised to log in approximately 30 minutes before your examination appointment to allow sufficient time for the check-in process.
- You must provide ONE form of valid identification to be admitted into the examination. The identification must be a high-quality, clear photo showing your first and last name exactly as they appear in your BACB account. Any name discrepancy will prevent admission to the examination (e.g., providing a nickname on your BACB account, identification that shows a different last name due to marriage). Pearson VUE does not make exceptions for name discrepancies based on marriage certificates.
- No cameras, phones, recording devices, beepers or electronic-transmitting devices, notes or reference materials, textbooks, briefcases, backpacks, portfolios, or purses, among others, may be in the area you are taking your RBT examination. Access to any of these items can result in the dismissal of your examination and further investigation by the BACB. Additionally, scratch paper, calculators, and rulers are NOT permitted in the area.
- No third-party individuals are permitted in the examination room.
- · If you leave the view of the webcam at any time during the examination, your examination will be terminated and may be further investigated by the BACB.
- No questions concerning the examination's content may be asked during the examination period. Please listen carefully to instructions from the examination proctor and read all directions thoroughly.



#### **Examination Terms and Conditions**

Please note that the time spent reviewing these Terms and Conditions is part of the examination time.

Before the commencement of a BACB examination, the candidate must read and agree to the following examination Terms and Conditions. Failure to agree to these Terms and Conditions will result in immediate dismissal from the examination.

#### Confidentiality

- BACB examinations are copyright protected and highly confidential trade secrets. Any disclosure or reconstruction of test questions or content is a violation of BACB rules and is subject to damages, including, but not limited to:
  - BACB investigation fees, court costs, and legal fees;
  - the cost of replacing the compromised question(s); and
  - the cost of reconstructing the examination at the discretion of the BACB.
- CANDIDATES ARE PERMANENTLY PROHIBITED FROM EVER DISCLOSING THE CONTENT OF BACB EXAMINATION QUESTIONS. Candidates may not communicate with other examinees or other individuals during the examination. The BACB considers unauthorized sharing of examination content with others a violation of BACB copyright and a form of cheating on the examination. This prohibition includes, but is not limited to, verbal, written, and/or electronic (e.g., email, chat room, other Internet or electronic medium) disclosure.

#### **Test Security**

- · BACB examinations may only be taken by individuals wishing to apply for BACB certification and for no other purpose.
- Proctors continuously monitor candidates by video and audio during the examination. The candidate recognizes that they have no right to privacy during examination delivery and that they waive all claims asserting a right to privacy. The candidate also acknowledges and consents to audio and video recording of their face, voice, the physical room in which they are seated when they check in, and their location during examination delivery. If a candidate does not wish to be recorded (either by video or audio), they must notify the proctor immediately of their intent not to proceed with the examination.
- ANY use of electronic devices while an examination is in progress is prohibited and is grounds for termination of the examination and invalidation of the examination score. No materials, documents, notes, or memoranda of any sort may enter or leave an on-site testing center or be in the proximity of the remote examination area. Additionally, any item visible or items worn by the candidate before or during an examination, including, but not limited to, cell phones, electronic devices, bags, purses, briefcases, and jewelry, may be investigated at the discretion of the examination proctor.
- Proctors are authorized to maintain a secure and proper examination administration and assist with operational issues but will not provide comments or recommendations regarding examination questions. Tampering with Pearson VUE or its subcontractor software, cheating, or permitting cheating (e.g., letting someone copy answers, letting someone provide answers, providing information on the content of examination questions to others) is cause for automatic disqualification and dismissal from the examination. At the sole discretion of the BACB and/or the BACB's testing vendor, irregular, disruptive, inappropriate, or suspected cheating behavior by the candidate may result in any combination of the following:
  - · relocation or removal from the examination site;
  - immediate termination of examination delivery;
  - invalidation of examination scores:



- inactivation of certification pending investigation of the irregularity;
- a required retest to validate a candidate's examination score;
- a permanent restriction on sitting for any future BACB examination;
- another disciplinary action related to eligibility or certification status;
- forfeiture of all application and examination fees paid by the candidate; and
- any other remedy necessary to protect BACB examination content and security.
- The BACB reserves the right to report examination content theft to applicable law enforcement authorities, and the candidate authorizes the BACB to release the candidate's name, examination performance data, audio and video files from the examination administration, and any other pertinent information necessary to demonstrate the basis for finding that the candidate participated in or benefited from theft of BACB examination content.

#### **Breaks**

- · Candidates taking the examination at an on-site testing center may take breaks, including using the restroom, but must raise their hand to inform the proctor. The proctor will secure the workstation while the candidate takes a break. Candidates will not be given extra time for any lost examination time. Candidates CANNOT leave their seat at any time unless the proctor has secured the workstation and WILL NOT be permitted to access or use a cell phone or electronic device. If at any time the candidate leaves their computer without the permission of the proctor, the candidate will be unable to continue testing.
- · Candidates taking the examination via remote proctoring are NOT allowed to take breaks. Candidates CANNOT leave their seat or webcam view at any time and ARE NOT permitted to access or use a cell phone or electronic device after the examination is launched. If at any time the candidate leaves their seat or webcam view, the candidate will be unable to continue testing.

### **Examination Security**

The BACB and Pearson VUE take examination security seriously because the value of your certification and our credibility depend on it. RBT examination content is confidential; it is never appropriate to share, discuss, post, or upload examination content. In addition, candidates are required to adhere to the RBT Ethics Code (2.0), which includes the following requirement: "RBTs are honest and work to support an environment that promotes truthful behavior in others."

Unauthorized possession, reproduction, publication, or disclosure of any BACB examination materials including storing or disclosing examination questions to any person or entity by any means before, during, or after the examination—is prohibited. Individuals violating these terms will not be permitted to retest or take any BACB examination until the matter has been resolved and the BACB has determined whether the candidate can have access to BACB examinations. Examples of violations and misconduct include:

- submitting false, inconsistent, or misleading statements or omitting information the BACB requests
- attempting to take the examination for someone else or having someone else take the examination for you
- applying for and/or taking an examination in a location restricted by the BACB
- copying or sharing information or any other form of cheating
- · obtaining advanced access to examination materials
- stealing examination materials
- bringing prohibited items into the examination room
- failing to follow directions from testing-center staff
- violating Pearson VUE scheduling or testing-center rules and regulations



### **Examination Appeals**

The BACB is committed to reporting valid examination results; however, certain circumstances may cause results to be invalidated. The BACB reserves the right to invalidate examination results and certification status due to suspected or documented misconduct during testing or violation of the RBT Ethics Code (2.0). The RBT Code requires certificants, candidates, and applicants to immediately report any confirmed or suspected examination misconduct by others to the BACB.

Additionally, invalidation may also occur due to situations beyond your control—or that of the BACB's—at the testing site. If you experience examination condition irregularities (e.g., poor lighting, disruptive noises, long screen-load times) during your examination, you may file an appeal with the BACB. Pearson VUE sites deliver BACB examinations via a web browser, so minor Internet bandwidth variances are to be expected.

Examination scores, content, questions, answers, procedures, deadlines, fees, and requirements may not be appealed.

How to appeal: You must file a written request for appeal within seven days of your examination appointment by completing the Administrative Appeal Request Form.

#### **Examination Results**

If you test in person, you will be notified of your results at the testing site as soon as you complete the examination. If you test remotely, you may view your results in your Pearson VUE account as soon as you complete the examination. In both instances, the BACB will confirm your results via email, and they will appear in your BACB account within approximately one week of completing the examination.

#### **Examination Retakes**

You may retake the RBT examination for a maximum of 8 total attempts within a 12-month period following your initial examination approval. An Examination Retake Application will be available online via your BACB account within 48 hours of each failed examination attempt. You will receive an examination authorization email within 48 hours of submitting the Examination Retake Application, which will provide further instructions on how to schedule a new examination appointment. A fee is not currently required to submit a retake application; however, you must wait 7 days to retake the examination following your previous attempt.

Note: If you do not pass the examination after 8 attempts and you still have time in your 12-month authorization period, you must wait until your 12-month authorization period is over to reapply for RBT certification.

### Scoring Methodology

The BACB uses the modified Angoff method to establish the RBT examination's passing score. This method is criterion-referenced, meaning that the passing score is determined by a panel of BACB-certified subject matter experts and then approved by the BACB Board of Directors. Your performance on the examination is solely dependent on your understanding of entry-level behavior technician knowledge.

A new passing score is established when a significant change to the industry or examination content occurs (e.g., introduction of a new task list).

### WHAT TO EXPECT AFTER PASSING THE EXAMINATION



# What to Expect After Passing the Examination

What documentation will I receive to verify that I am an RBT? In most instances, you will receive a pass/fail report at the testing center. If you pass the RBT examination, within approximately 24 hours, you will receive an email from the BACB with an attached certificate that provides details about your certification, including your certification number and expiration date. You may also find information about your certification status in your BACB account.

When can I start using my RBT certification? You may start using your RBT certification as soon as you receive your certificate from the BACB and have a qualified RBT Supervisor and/or RBT Requirements Coordinator on record with the BACB. If at any point you do not have an RBT Supervisor/RBT Requirements Coordinator who is providing your ongoing supervision on record with the BACB, you may not practice, and your certification will be considered inactive (see the Ongoing Supervision section). In addition, if your certification is inactive for any other reason, you may not use your RBT certification. Your RBT Supervisor and/or RBT Requirements Coordinator can add you to their record through their BACB account. Supervisors should review the Process for Adding or Removing Supervisees document for detailed instructions.



Sample RBT Certificate Letter

How can employers, funders, and others verify my RBT certification? Newly certified RBTs appear on the Certificant Registry within approximately 24 hours of passing the examination. The Certificant Registry will show your RBT Supervisor/RBT Requirements Coordinator and the status of your certification. If you do not have an RBT Supervisor and/or RBT Requirements Coordinator on record with the BACB, or if you are inactive for any other reason, this is indicated on the registry with red text stating that you may not practice.

In addition, anyone may request a written verification of your current certification status from the BACB for \$25 per verification. The verification letter is delivered via email as a PDF document or state-issued verification form and contains all of the information shown on the registry.

What else should I do to ensure my certification remains active? Review the Certification Maintenance Requirements section with your supervisor and meet the maintenance requirements, keep your contact information up to date in your BACB account to ensure that you receive important updates about RBT certification requirements, stay informed of changes by periodically reviewing the BACB website, and report critical events to the BACB Ethics Department (see the Ethics and Self-Reporting section). This is especially important because if the BACB tries to contact you and receives no response after reasonable attempts are made, the BACB may take summary action (e.g., suspension) against your certification (see the Timely Responding to the BACB section).

If your certification status changes (e.g., your certification becomes inactive), ensure that you accurately reflect your certification status to the public. For more information about the correct use of the RBT certification mark, see the September 2008 and July 2021 BACB Newsletters.



# **Certification Maintenance Requirements**

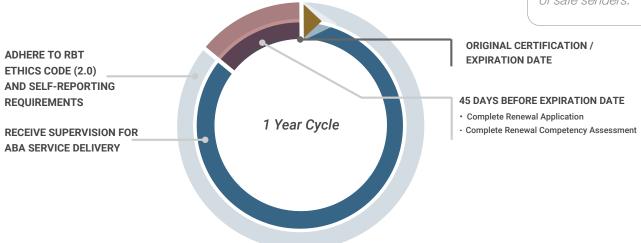
RBTs must meet certain maintenance requirements to remain proficient in performing critical behavior technician skills for behavior analysis services, receive appropriate oversight over those activities, and demonstrate a continued commitment to ethical behaviors that help protect consumers and the public.

As an RBT, you must:

- receive ongoing supervision that meets the RBT supervision, supervisor, and documentation requirements
- adhere to the RBT Ethics Code (2.0) and Self-Reporting Requirements
- complete an RBT renewal application every year, including an RBT Renewal Competency Assessment and fees, to maintain your certification

Remember to keep your information up to date in your BACB account, and please ensure that bacb.com is in your email contacts and list of safe senders.

#### **OVERVIEW OF RBT MAINTENANCE REQUIREMENTS**



# **Ongoing Supervision**

#### **Supervision Requirements**

As an RBT, you must practice under the close, ongoing supervision of a qualified RBT Supervisor and/or RBT Requirements Coordinator who are responsible for the work you perform. The purpose of ongoing supervision is to improve and maintain your behavior-analytic, professional, and ethical repertoires, and facilitate the delivery of high-quality services to clients (i.e., any recipient or beneficiary of behavior-analytic services). All activities must comply with the respective Codes (i.e., RBT Ethics Code [2.0] for RBTs, Ethics Code for Behavior Analysts for RBT Supervisors and RBT Requirements Coordinators). For that reason, you must meet the supervision requirements at each organization where you are providing behavior analysis services.

Appropriate activities. As an RBT, you may perform activities identified on the RBT Task List (2nd ed.) under the supervision of a qualified supervisor. Your supervisor has the discretion to determine if you can competently perform activities outside of the task list.

**Amount of supervision.** You must obtain ongoing supervision for a minimum of 5% of the hours you spend providing behavior-analytic services each calendar month.



**Supervision activities.** The supervision of RBTs may include the following activities:

- developing performance expectations
- observing, providing behavioral skills training, and delivering performance feedback
- · modeling technical, professional, and ethical behavior
- · quiding the development of problem-solving and ethical decision-making repertoires
- reviewing written materials (e.g., daily progress notes, data sheets)
- overseeing and evaluating the effects of behavior-analytic service delivery
- providing ongoing evaluation of the effects of supervision

Structure of supervision. Supervision must include at least two face-to-face, real-time contacts per month (i.e., supervision may not occur over the phone or via email). Your supervisor must observe you providing services in at least one of the monthly meetings. In-person, on-site observation is preferred. However, these may be conducted via web cameras, video-conferencing, or similar means instead of your supervisor being physically present. Note: Internet-based supervision needs to comply with all applicable laws. Although only one observation is required, the BACB encourages direct observation of service delivery as much as possible.

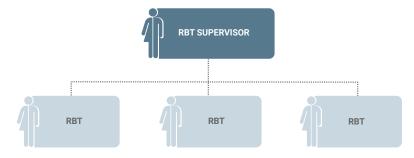
At least one of the two supervision sessions must be individual (i.e., no other RBTs or trainees present), but the other may occur in a small-group meeting. Small-group meetings are interactive meetings in which two-10 RBTs who share similar experiences participate. The number of RBTs may not exceed 10, regardless of the number of supervisors present. If non-RBTs are present during the meeting, their participation should be limited to increase the interaction of RBTs.

#### **RBT Supervisor Requirements**

Your organization likely has one of the following supervision structures:

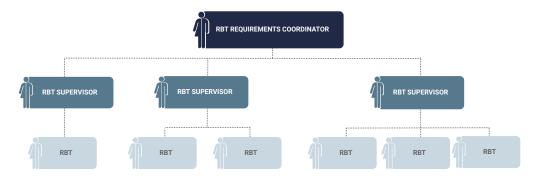
- RBT Supervisor structure. In this structure, you have one or more RBT Supervisors. These individuals provide supervision and are responsible for the services that you provide.
- RBT Requirements Coordinator structure. If you have more than one RBT Supervisor, you might also have an RBT Requirements Coordinator. This individual ensures that your RBT Supervisors meet all supervision requirements. In some cases, this individual also serves as one of your RBT Supervisors.

This is one example of an RBT Supervisor structure:

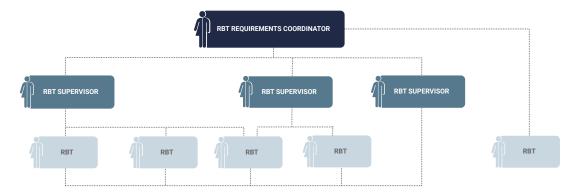




This is one example of an RBT Requirements Coordinator structure, in which the RBT Requirements Coordinator does **not** serve as an RBT Supervisor:



This is another example of an RBT Requirements Coordinator structure, in which the RBT Requirements Coordinator serves as an RBT Supervisor, and the RBTs each have multiple supervisors:





The following table outlines the requirements for each type of RBT supervision structure:

RBT Supervisor Requirements			
	RBT Supervisor Structure RBT Requirements Coordinator Structure		Coordinator Structure
Role	RBT Supervisor	RBT Supervisor	RBT Requirements Coordinator
Qualifications	BCBA certified     BCaBA certified	<ul> <li>BCBA certified</li> <li>BCaBA certified</li> <li>Licensed in another behavioral health profession that has applied behavior analysis in its legislative scope of practice, competent in applied behavior analysis, AND employed at the same organization as the RBT Requirements Coordinator</li> </ul>	BCBA certified
Responsibilities	<ul> <li>Responsible for all services the RBT provides</li> <li>Individual supervisors provide all supervision. Multiple supervisors at one organization collaborate to ensure that all requirements are met. RBTs working at different organizations must meet all requirements independently at each organization (e.g., they cannot have 3% supervision at organization A and 2% supervision at organization B).</li> <li>Ensure that BACB records are up to date</li> </ul>	<ul> <li>Responsible for all services the RBT provides under their supervision</li> <li>Give the RBT Requirements Coordinator all supervision details</li> <li>Not required to meet all supervision requirements independently</li> </ul>	Ensure that all RBTs at the organization meet all supervision requirements (e.g., RBT Supervisors are qualified, all requirements are met each month)     Ensure that BACB records are up to date     May also serve as an RBT Supervisor. If so, they would still refer to their role as an RBT Requirements Coordinator.
Relationships	The RBT may not be related to, superior to, an employer of, or in a multiple relationship with (e.g., personal or business relationship) the RBT Supervisor or RBT Requirements Coordinator. An RBT paying for supervision services is not considered the employer of the supervisor.		
Training	Before providing any supervision, RBT Supervisors and RBT Requirements Coordinators must have completed a training based on the <a href="Supervisor Training Curriculum Outline">Supervisor Training Curriculum Outline</a> (2.0) and uploaded it to their BACB account.		



RBT Supervisor Requirements			
	RBT Supervisor Structure	RBT Requirements C	Coordinator Structure
Public identification with the BACB	All RBT Supervisors must identify as the RBT's supervisor	Does not need to be listed in the BACB's records	Only the RBT's Requirements Coordinator at their organization needs to be listed in the BACB's records
Supervisor- client oversight	Must have sufficient client-specific knowledge to inform the RBT's clinical direction (see the following Supervisor-client oversight section for more information)	Must have sufficient client-specific knowledge to inform the RBT's clinical direction (see the following Supervisor-client oversight section for more information)	If providing supervision, must have sufficient client-specific knowledge to inform the RBT's clinical direction (see the following Supervisor- client oversight section for more information)
BACB audit responsibilities	<ul> <li>Maintain supervision documentation for 7 years and be prepared to submit it, even for former RBTs</li> <li>In the event of an audit, give the BACB documentation of the supervision they provided</li> </ul>	In the event of an audit, give the RBT Requirements Coordinator documentation of the supervision they provided, even for former RBTs	<ul> <li>Maintain supervision documentation for 7 years and be prepared to submit it, even for former RBTs</li> <li>In the event of an audit, give the BACB documentation of all supervision that occurred under their supervision/oversight</li> <li>If a noncertified RBT Supervisor is involved in the audit, give the BACB a completed Noncertified RBT Supervisor Form</li> </ul>

Public identification. For your RBT certification to be active (i.e., to practice, bill, or represent yourself as an RBT), your RBT Supervisor(s) and/or RBT Requirements Coordinator must have you listed as a supervisee in their BACB account(s). This will be reflected in the Certificant Registry.

Depending on the supervision structure at your organization, the following individuals must list you in their BACB account:

- If you do not have an RBT Requirements Coordinator, all of your RBT Supervisors must list you as a supervisee in their BACB account while they provide supervision.
- If you have an RBT Requirements Coordinator, only they must list you as a supervisee in their BACB account while they provide supervision/oversight.

Supervisor-client oversight. The RBT Supervisor(s) and RBT Requirements Coordinator must have sufficient client-specific knowledge to inform the clinical direction of your work as an RBT. Specifically, the two parties should be employed by the same organization, or they should have a contractual relationship with your client(s). In addition, you must ensure that you are receiving supervision in accordance with these requirements, including having an RBT Supervisor or RBT Requirements Coordinator for every client with whom you work. It is not permissible, for example, for you to have a supervisor for three of your clients, but no supervisor for one of your clients.





If your RBT Requirements Coordinator and/or RBT Supervisor changes, they must make the change in their BACB account when the supervisory relationship has ended. Supervisors should review the instructions for adding or removing supervisees.

#### Non-practicing and Unsupervised RBTs

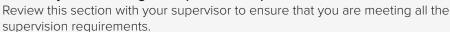
Consequences for not being properly supervised. Any RBT found to be substantially noncompliant with the supervision requirements will be subject to immediate termination of their certification or termination of their eligibility to be recertified. In both cases, the RBT will be prohibited from reapplying for RBT certification for six months following the termination. If an RBT requalifies, the RBT will be subjected to enhanced auditing of their supervision records.

A Notice of Alleged Violation may be submitted against the RBT Supervisor and/or RBT Requirements Coordinator if their RBT(s) are not receiving supervision in accordance with these requirements.

Unsupervised RBTs. As an RBT, you may only practice if you have an RBT Supervisor or RBT Requirements Coordinator on record with the BACB (and reflected on the Certificant Registry).

Non-practicing RBTs. If you are not currently providing behavior-analytic services as an RBT, monthly supervision is not required to maintain certification. However, you must still complete annual renewals to maintain certification. If you do not plan to provide behavior-analytic services for an extended period of time you should consider applying for voluntary inactive status.

#### Not sure you're meeting the supervision requirements?





#### What if you find that you're not meeting the supervision requirements?

Report this to the BACB (see the Self-Reporting section) and, depending on your situation, you may want to review how to apply for voluntary inactive status, get another supervisor, and/or discuss with your supervisor how you can work together to ensure that you are meeting the supervision requirements moving forward.

#### **Supervision Documentation and Audits**

Documentation of supervision. You and your RBT Supervisor/RBT Requirements Coordinator must maintain documentation to demonstrate that you are meeting supervision requirements, including:

- days and times you provided behavior-analytic services
- · dates and duration of supervision
- format (e.g., one-on-one, group) of supervision
- · dates that direct observations of you working with a client occurred
- names of supervisors who provided supervision
- noncertified RBT supervisor form (if applicable)
- proof of supervisor's relationship to the client
- additional documentation in the event of discrepant records (e.g., session notes)

You and your RBT Supervisor/RBT Requirements Coordinator must retain supervision documentation for at least 7 years.

Audits. The BACB reserves the right to audit current and former RBTs, RBT Supervisors, or RBT Requirements Coordinators at any time. If it is found that the supervision requirements are not being met, action may be taken against any or all these parties. See page 23 to view the RBT Supervision Audit Process infographic.



# **RBT Supervision Audit Process**



#### **AUDIT INITIATED**

The BACB may initiate an RBT Supervision Audit at any time for any reason. For instance, it might be initiated as part of a quality assurance check, self-report of lapse, or ethics-related matter. Please note, your RBT certification status will not be impacted by the initiation of an audit.



#### **BACB REQUESTS AUDIT INFORMATION**

The BACB may contact the current or former RBT, RBT Supervisor, and/or RBT Requirements Coordinator via email. The request may include one or more of the following: completion of an RBT Supervision Audit Log, Noncertified RBT Supervisor Form, Proof of Supervisor Relationship with Client (or other supervisor related documents as described in the "Documentation of Supervision" section of the RBT Handbook).



#### PARTIES SUBMIT AUDIT DOCUMENTS TO BACB

All relevant parties will submit requested documents to the BACB within the specified timeframe, typically 15 days. Documents submitted after the specified time frame will not be reviewed or considered in the determination. Note: if completed documents are not submitted in the specified time frame, a Notice of Alleged Violation may be submitted against the relevant parties or the supervision may be considered non compliant (see the Timely Responding to the BACB section).



#### **BACB REVIEWS DOCUMENTS**

All audit cases are reviewed to evaluate compliance with the RBT Supervision requirements and other applicable BACB requirements. If additional documents or information is required, relevant parties will be contacted via email.



#### BACB PROVIDES FEEDBACK ON DETERMINATION

The BACB will contact the current or former RBT, RBT Supervisor, and/or RBT Requirements Coordinator with a determination and, if applicable, feedback.











#### TERMINATION OF CERTIFICATION OR RIGHT TO RECERTIFY

Any RBT found to be substantially noncompliant with supervision requirements will either have their certification terminated or be made ineligible for recertification as of the date they receive their audit determination.\*



#### NOTICE OF ALLEGED VIOLATION AGAINST SUPERVISOR(S)

A Notice of Alleged Violation may be submitted against the RBT Supervisor and/or RBT Requirements Coordinator if their RBT(s) are not receiving supervision in accordance with these requirements.

### **CONTINUE TO MEET** SUPERVISION REQUIREMENTS

If the supervision is found to be compliant with the RBT supervision requirements for the audited period, the supervision may occur as usual.

\*In both cases (certification termination or termination of eligibility), the RBT will be prohibited from applying for RBT certification for at least 6 months following the termination and may be subjected to enhanced auditing if they return. If you believe the decision was made in error, you will need to review the Administrative Appeals Policy and, if appropriate, submit an appeal within 30 days of the determination.



### **Ethics and Self-Reporting**

You must adhere to the RBT Ethics Code (2.0) and Self-Reporting Requirements. The BACB is prohibited from providing any legal advice and from interpreting any ethics requirements.

RBT Ethics Code (2.0). The RBT Ethics Code (2.0) includes 29 standards across 3 sections: (a) General Responsibilities, (b) Responsibilities in Providing Behavior-Technician Services, and (c) Responsibilities to the BACB and BACB-Required Supervisor.

Work directly with your supervisor(s) to ensure that you are complying with the RBT Ethics Code (2.0). Consult your supervisor(s) if you have questions or concerns regarding BACB ethics requirements.



RBT Ethics Code (2.0)



Visit the Ethics web page for information about code enforcement procedures, ethics resources, and more.

#### **Self-Reporting**

Per the BACB's ethics requirements, applicants and certificants must self-report to the BACB any personal conduct, condition, or event that may pose a risk to others or reasonably impact their ability to safely or competently practice. These events may relate to their roles as service providers, supervisors, educators, trainers, or business owners or managers of any organization or company that provides behavior-analytic services, supervision, education, and/or training. Please see the following sections for details on the three main types of information that must be self-reported to the BACB.

For further guidance on when to self-report, please review the information on the Self-Reporting web page.

#### **Changes to Personal Information**

You are required to keep the personal information in your BACB account up to date. Please change or correct any outdated information within 30 days of the change or of becoming aware of the outdated information.

#### **Noncompliance With BACB-Required Supervision**

BCaBAs, BCaBA Supervisors, RBTs, RBT Supervisors, and RBT Requirements Coordinators, among others, are required to self-report any supervision noncompliance to the BACB in a timely manner.

#### **Critical Events**

The following events must be self-reported to the BACB in a timely manner. This guidance does not constitute ethics or legal advice. If it is not required that you self-report your situation to the BACB, but you are still unsure, the best course of action is to self-report. Please note that for the purposes of self-reporting, funder refers to any entity (e.g., healthcare organization, state or federally funded service, commercial payer,



managed care organization, educational institution, other payment entity) that you have contracted with to provide behavior-analytic, educational, or health-care services.

Violations of Ethics Standards: You must self-report any violation of one or more standards in the applicable BACB ethics code (i.e., Ethics Code for Behavior Analysts for BCBAs and BCaBAs, RBT Ethics Code [2.0] for RBTs). This includes, but is not limited to, the following events:

- · leaving a position without ensuring continuity of services or in a manner that fails to appropriately transition or discontinue services
- engaging in a multiple relationship with a client or supervisee
- · failing to maintain appropriate client records (including documentation for billing purposes)

Physical and Mental Health Conditions and Substance Use Disorders: You must self-report the following events related to physical and mental health conditions and substance use disorders:

- You currently have, or within the past 3 years have had, a physical or mental health condition or substance use disorder that directly impacts your ability to provide services safely and competently, and:
  - you do not have an active, effective care plan in place;
  - · you have an active, effective care plan in place, and your care provider recommends that you self-report to the BACB; OR
  - you have an active care plan in place, but it is no longer effective.
- · Within the past 3 years, you have been voluntarily or involuntarily hospitalized or placed in a treatment facility (e.g., in-patient, intensive out-patient) because of a physical or mental health condition or substance use disorder for which you do not or did not have a care plan in place, and your ability to provide services safely and competently is or was directly impacted.
- · Within the past 3 years, you have participated in a diversion plan or treatment plan related to a physical or mental health condition or substance use disorder in accordance with a license or credential held by you in any profession.
- · Within the past 3 years, you have participated in a diversion plan or treatment plan in accordance with a court of law following criminal charges against you for which a physical or mental health condition or substance use disorder lessened the offense that resulted in the diverted or deferred outcome.



You do not need to self-report the following events:

- · a new or existing diagnosis of a physical or mental health condition or substance use disorder that is unlikely to impact the competent and safe delivery of services
- · a new or existing diagnosis of a physical or mental health condition or substance use disorder for which you have an active, effective care plan, and you and your care provider have determined that it will not likely impact the competent and safe delivery of services



Investigations: You must self-report any investigation naming you, a company that you own, or a company in which you hold a primary role related to the matter under investigation (e.g., billing, clinical quality control) within 30 days of becoming aware of the investigation. Do not wait until the conclusion of the investigation to self-report. This includes, but is not limited to, the following events:

- any investigation conducted by an employer in response to an alleged violation of any BACB ethics standard
- · any investigation or audit conducted by a state or federal entity (e.g., funder, licensure or regulatory board), professional association, or educational institution that was triggered by a complaint or irregularity identified by the entity
- · any investigation or audit triggered by a self-identified irregularity
- any billing or quality control audit (e.g., random or scheduled audit that was not triggered by a complaint or concern) conducted by a funder, governmental insurance provider, licensure or regulatory board, or other entity (e.g., department of health, fire department) that resulted in an order to repay money due to overbilling and a penalty that exceeded \$2,500 (USD or equivalent)



You do not need to self-report the following events:

· any billing or quality control audit (e.g., random or scheduled audit that was not triggered by a complaint or concern) conducted by a funder, governmental insurance provider, licensure or regulatory board, or other entity (e.g., department of health, fire department) that did not result in any corrective actions, improvement plans, fines, or repayments exceeding \$1,000 (USD or equivalent)

Agreements and Actions: You must self-report certain agreements and actions within 30 days of (a) receiving a final determination, disposition, or order or (b) signing an agreement or consent order that relates to any of the following events:

- termination by an employer in response to a violation of any BACB ethics standard
- requirement to report a situation or action that posed a risk of harm to others and occurred during the delivery of behavior-analytic, health-care, or educational services to a licensure or regulatory board, professional liability insurance company (i.e., if the event triggered malpractice coverage), or other governmental agency (i.e., if the event warranted mandated reporting to a welfare or protective agency)
- denial of a professional licensure application for conduct or competence reasons, such as eligibility requirements deficits, that would not otherwise constitute ethics code violations (e.g., unmet education, training, experience, application, and/or documentation requirements; unpaid fees)
- · an arbitration decision or a settlement or consent agreement/order between yourself and any funder, licensure board, or certification entity
- · required actions (e.g., repayment, compliance or remediation plan, participation restrictions, probation or suspension, voluntary surrender or relinquishment of license or certification, revocation or suspension, practice restrictions or inclusions, mandatory mentorship or continuing education) assigned to you by a state or federal entity (e.g., licensure or regulatory board), professional association, court or tribunal, arbitrator, funder, or educational institution in response to a complaint, audit, or investigation related to the delivery of behavior-analytic services or a behavior-analytic service company that you own or manage
- public health and safety tickets, citations, or fines greater than \$750 (USD or equivalent) or of any amount if the situation involved or occurred in the presence of a client



- · criminal or civil suits naming you that are directly or indirectly related to the delivery of behavioranalytic services or involve assault, battery, or abuse of a person or animal (this must be reported within 30 days of becoming aware of the criminal or civil suit)
- · criminal or civil suits in which you have been found guilty, have entered a plea of no contest, or have otherwise been sanctioned related to a misdemeanor or felony involving public health and safety or the delivery of behavior-analytic, health-care, educational, or other human services (this must be reported within 30 days of becoming aware of the criminal or civil suit)
- · official expungement of court records that meet BACB self-reporting requirements if the action occurred during the delivery of behavior-analytic, health-care, or educational services or involved the assault, battery, or abuse of a person or animal
- · probation, parole, pretrial diversion agreements, settlement agreements, or consent orders assigned to you
- · current detainment (e.g., you are being held in custody in a jail, prison, other correctional facility, court-mandated treatment facility, or under house arrest)
- any conviction of a felony that carried a maximum sentence of more than 5 years imprisonment and that has not been expunged



You do not need to self-report the following events:

- credit or tax hearing
- personal bankruptcy
- · fines related to tax, revenue, or wage garnishment
- · parking tickets or tickets generated by traffic cameras
- traffic tickets not exceeding \$750 (USD or equivalent)
- family court proceedings (e.g., divorces, custody hearings)
- employer layoffs and actions that do not involve a violation of a BACB ethics standard



#### Behavior Technicians May Not be Independent Contractors in the U.S.

The <u>U.S. Internal Revenue Service</u> (IRS) provides definitions of two primary worker classifications. A worker is an employee when the company controls what duties will be performed and how they will be performed. A worker is an independent contractor when he/she controls how the duties will be performed (e.g., which procedures are used). Behavior technicians - those who are certified (e.g., as an RBT) and those who are not - have increasingly advertised their availability to provide services as independent contractors. Because over 97% of RBTs reside in the U.S., we provide the following guidance.

The BACB defines an RBT as "a paraprofessional who practices under the close, ongoing supervision of a BCBA, BCaBA, or FL-CBA." Because an RBT is not an independent practitioner with control over how his/her duties are performed, in the U.S. an RBT would almost never qualify as an independent contractor as defined by the IRS. Thus, any RBT who is working as an independent contractor and any business that hires RBTs as independent contractors should immediately consult a tax professional to confirm compliance with IRS regulations. The following resources might be helpful during this consultation:

- The July 20, 2017 IRS Interpretation of Employee vs. Independent Contractor (See FS-2017-09, U.S. Department of the Treasury, 2017).
- The California Association for Behavior Analysis (May 2018) interpretation of this issue.



In addition to this being a serious IRS matter, failure to use the appropriate worker classification for behavior technicians may constitute a violation of the Ethics Code for Behavior Analysts or the RBT Ethics Code (2.0). In the event that a Code violation is alleged against you for mischaracterization of RBT services, the BACB will request a copy of the written opinion of a tax professional to demonstrate that appropriate due diligence was exercised in making the classification.

We strongly encourage RBTs who are practicing outside the U.S. to consult with a tax professional in their country to determine compliance with applicable employment laws.

#### **Annual Renewal**

You must renew your RBT certification annually by your RBT expiration date. To renew your certification, complete and submit an RBT Renewal Competency Assessment with your renewal application. Note: your renewal application must be submitted through the BACB Account associated with your RBT certification. If you need help locating your account, please contact us!





Your RBT expiration date is one year from the day you pass the examination or the date you last renewed. You can find your RBT expiration date in your BACB account and on the Certificant Registry.

### 1. Complete a Renewal Competency Assessment

You must demonstrate that you can competently perform the tasks on the RBT Renewal Competency Assessment as part of the annual requirements to maintain the RBT certification. The assessment is an important maintenance requirement because it requires RBTs to demonstrate their ongoing proficiency in performing critical behavior technician skills. This is especially valuable given the integral, hands-on activities RBTs perform.

The assessment must be:

- overseen by a qualified BACB supervisor
- completed no more than 45 days prior to your expiration date
- based on the current RBT Renewal Competency Assessment Packet



Demonstrate that you meet this requirement by uploading a copy of your completed RBT Renewal Competency Assessment with your renewal application. Your assessor will provide your completed assessment to you once you demonstrate you can competently perform the outlined tasks.

#### 2. Submit Your Renewal Application in your BACB account

After completing the RBT Renewal Competency Assessment, complete the RBT Renewal Application, which includes reporting whether you met the supervision requirements during the last renewal cycle and agreeing that you will continue to adhere to the BACB's various rules and requirements, reporting, and ethics requirements.



Step	Responsible Person
1. Log into your BACB account up to 45 days prior to your certification expiration date and select the "RBT" tab. Please be sure to log into your existing BACB Account. If you create a new account, you will not be able to renew through it.	Applicant
Note: While the BACB sends renewal and expiration notices to the email in your account, it is your responsibility to actively maintain your certification.	
2. Pay the renewal application processing fee by either clicking on the blue "Invoice" link in your account to pay with a credit card or mailing a check to the BACB.	Applicant
Note: If your payment is received after your expiration date and during your reinstatement period (see below), you will also need to pay a late fee.	Арріісані
3. Once you make your payment, upload your completed RBT Renewal Application and RBT Renewal Competency Assessment into your BACB account.	
Note: If you are submitting your documents after your expiration date and during your reinstatement period, you will need to pay a late fee (even if you paid on time) because you did not submit a complete application on time.	Applicant
4. Allow two weeks for BACB staff to process your application.	
<ul> <li>The BACB will send status updates and notifications to the email address listed in your BACB account. You may also check the status of your application in your BACB account under the "RBT" tab.</li> <li>If the BACB requires updated documents, upload the new documents under the "RBT" tab in your BACB account.</li> </ul>	BACB Staff
<ul> <li>Note: If your documentation is submitted close to your expiration date, you may receive an expiration notice while your documentation is still under review.</li> </ul>	
5. If your application is:	
<ul> <li>Approved: You will be notified via email, and your certification will be renewed for an additional year.</li> <li>Not approved by the end of your reinstatement period: Your RBT certification will expire, and you must reapply under the current requirements to become an RBT.</li> </ul>	
Note: After submitting your renewal application you will receive feedback on whether your documentation has demonstrated whether you met the maintenance requirements. BACB staff will not provide a rationale for the decision beyond what is included in your feedback email (i.e. staff will not explain the review process to you). If you disagree with the application determination and believe that your submitted application materials were rejected in error, you may review our Administrative Appeals Policy to determine if a second, independent review of your application could be triggered. If appropriate, you will need to submit an appeal within 30 days of the determination.	BACB Staff/ Applicant



Reinstatement Period. If you fail to complete all of the renewal requirements by the date your certification expires, your certification will be "inactive" and you will have 30 days following the expiration date to reinstate your certification, including paying a late fee. You will not be permitted to practice, bill, or represent yourself as an RBT while inactive.

Failure to Renew. If you do not meet the requirements by the end of the reinstatement period, you will lose your certification. You will not be permitted to practice, bill, or represent yourself as an RBT after you lose your certification. If you would like to become certified again at this point, you will need to reapply and meet the eligibility requirements applicable at that time.

#### GUIDANCE FOR THOSE WHO MISSED THEIR RECERTIFICATION DATE



#### Fees<sup>1</sup>

Renewal Fees	
Renewal Application Processing Fee	\$35
Renewal Application Late Fee	\$50
Insufficient Funds/Returned Check	\$45

<sup>1</sup>BACB fees are nonrefundable.

### **Inactive Policy**

RBTs may be inactive because they:

- placed their certification on voluntary inactive status or active/duty reserve status
- do not have a qualified RBT Supervisor or RBT Requirements Coordinator on record with the BACB
- · did not renew by their expiration date and are in their reinstatement period
- · have sanctions against their certification

RBTs with an inactive certification may not bill, practice, or represent themselves as active RBTs and will be listed as inactive on the Certificant Registry.

#### **Voluntary Inactive Status**

RBTs who need a break from maintaining their certification for a period of time—and who are within their renewal cycle (i.e., have not missed their expiration date)—may request inactive status. As an inactive RBT, you are not permitted to practice, bill, or represent yourself as an active RBT and you will be listed as inactive on the Certificant Registry. You may request to be inactive for up to two years and will not be required to submit annual RBT renewal applications (including a renewal competency assessment and fees) during this time. After returning from voluntary inactive status, you may not apply for voluntary inactive status again until you have completed one full renewal cycle.



**RBT Voluntary Inactive** Status Application



#### Applying for Voluntary Inactive Status

If you wish to request inactive status, complete and submit the Voluntary Inactive Status Application – RBT and pay the voluntary inactive status fee well in advance of the date your certification expires to allow time for processing.

#### Applying for Reactivation

If you are an RBT on voluntary inactive status, you may request to reinstate your certification by:

- 1. completing the RBT Renewal Competency Assessment no more than 45 days before requesting reactivation
- 2. submitting an RBT Request to Return from Voluntary Inactive Status Application (including fees) to the BACB as soon as you complete the competency assessment and within 2 years of your inactivation date

Once your certification is reactivated, you are required to have an RBT Supervisor or RBT Requirements Coordinator on record with the BACB. At that point, your renewal cycle will restart from where it was originally paused. If you fail to return from inactive status, your RBT certification will expire and you will need to reapply and meet the eligibility requirements applicable at that time.



**RBT** Request to Return from Voluntary Inactive Status Application



#### Example of how your renewal cycle is paused while on voluntary inactive status:

If you go on inactive status within four months of needing to renew, once you return you will need to complete all renewal requirements within four months, including the RBT Renewal Competency Assessment.

#### Active Duty/Reserve Status Policy

If you are called to active military duty in the armed forces and are actively certified, you may apply for a temporary pause of your certification status or examination authorization window to cover the period for which you are called (not to exceed two years). While on reserve inactive status, you are prohibited from representing yourself as an active RBT. This policy only applies to service members. If a spouse of a service member wishes to go on inactive status, they must apply for voluntary inactive status.

#### Applying for Active Duty/Reserve Status

Upon being called to active duty, contact the BACB and provide a copy of your official active duty orders. If you are certified at the time, the BACB will place your certification on reserve inactive status during your active duty period (i.e., your certification will be placed on inactive status as of the date listed in your orders). There are no fees required for this application.

#### **Applying for Reactivation**

Following completion of your active duty, or two years, whichever comes first, you must contact the BACB and inform staff that you have returned from active duty and would like to update your certification status. When your certification becomes active again, your renewal cycle or examination authorization window will resume.

#### Fees1

Inactive Policy Fees	
Voluntary Inactive Application	\$25
Request to Return from Inactive	\$25
Active Duty/Reserve Status	\$0

<sup>1</sup>BACB fees are nonrefundable.

### OTHER RELEVANT POLICIES



### **Other Relevant Policies**

### Administrative Appeals

#### **Application Appeals**

Any adverse eligibility or certification decision including, but not limited to, a denial of a certification application, renewal application, or other application submitted to the BACB may be appealed. Supervision deviations that result in credential termination may only be appealed if the basis of the appeal is to correct miscalculated hours. These policies and procedures shall apply to appeals by applicants or certificants.

BACB standards, deadlines, procedures, and fees may not be appealed. Do not submit an appeal in order to request information about a denied application; you will receive detailed information (i.e., reasons for the denial, steps that need to be taken to correct any deficiencies) at the time of the denial.

How to appeal: You must file a written request for appeal within 30 days of the date of the decision being appealed. To file an appeal, complete the Administrative Appeal Request Form.

#### **Examination Appeals**

If you experience examination condition irregularities (e.g., lighting, disruptive noises, long load times) during your examination, you may file an appeal with the BACB. Please note, Pearson VUE sites deliver BACB examinations via a web browser, so minor internet bandwidth variances are to be expected. Examination scores, content, questions, answers, procedures, deadlines, fees, and requirements may not be appealed.

How to appeal: You must file a written request for appeal within seven days of your examination appointment. To file an appeal, complete the Administrative Appeal Request Form located on the BACB's Administrative Appeals webpage.

#### **Compassionate Appeals**

If you are a current applicant or certificant who has experienced an unforeseen life event or extenuating circumstance (e.g., hospitalization, act of nature) that warrants relief within one year of the determination that you wish to appeal (e.g., the day that your certification expires), you may file an appeal with the BACB.

This appeal requires documentation that verifies the event or circumstance in question. BACB staff will evaluate the appeal based on the date of the event, its potential impact on your ability to obtain or maintain BACB certification, the amount of time you had to meet the applicable requirements (e.g., whether you are using the two years between recertification to accrue continuing education), and whether you could have taken preventative actions (e.g., applied for voluntary inactive status).

How to appeal: You must file a written request within six months of the determination that you wish to appeal (e.g., your certification expiration date) by completing the Administrative Appeal Request Form located on the BACB's Administrative Appeals web page.

### OTHER RELEVANT POLICIES



### Acceptable Signatures Policy

The BACB accepts electronic signatures\* for applications and forms, whether submitted electronically or by mail. Although it is preferred that documents are digitally signed using a third-party electronic signature service (e.g., Adobe Sign, DocuSign, HelloSign), the BACB will accept any type of electronic signature (e.g., Adobe Fill & Sign, JPEG of a signature) provided the document is signed by the appropriate party with the intent of signing the document.

The BACB also accepts handwritten signatures on scanned documents, unless the document states that an original signed copy is needed. Alterations on handwritten documents (e.g., white-outs, strike-throughs) must be initialed by all relevant parties.

\* An electronic signature is "an electronic sound, symbol, or process, attached to or logically associated with a contract or other record and executed or adopted by a person with the intent to sign the record" (15 U.S.C. § 7006 [2000]). Simply stated, an electronic signature is an electronic expression indicating a signee's intent to sign a document. By contrast, a digital signature is a specific kind of electronic signature, which requires authentication of a signee's identity.

### **Documentation Policy**

All documentation submitted to the BACB, for any reason, becomes the property of the BACB. Documents submitted to the BACB will be retained and/or safely discarded at the discretion of the BACB. For this reason, candidates should retain a copy of their certification application and all supporting documentation for their personal records and to be prepared for future applications.

### Submission of False, Forged, or Untrue Information to the BACB

The BACB reserves the right to prohibit any individual from taking a BACB examination, or invalidate any certification (immediately making the certification null and void), in the event that the individual submitted falsified, forged, or untrue information.

Examples of false, forged, or untrue information include:

- · submitting false, inconsistent, or misleading statements or omitting information the BACB requests
- submitting an altered or inauthentic transcript
- submitting an application containing false, inconsistent, or misleading information
- attempting to take the examination for someone else or having someone else take the examination for you
- copying or sharing information, or any other form of cheating
- obtaining advanced access to certification or examination material
- · stealing examination materials
- bringing prohibited items into the examination room
- · failing to follow directions from test center staff
- violating Pearson VUE scheduling or test center rules and regulations

### Timely Responding to the BACB

If an individual fails to respond to the BACB after reasonable contact attempts are made, or if an individual fails to comply with a request from the BACB, the BACB may take summary action (e.g., suspension) against their eligibility or certification. See the Code-Enforcement Procedures document for more information.

### **DOCUMENTS AND RESOURCES**



### **Documents and Resources**

### Eligibility and Applying for Certification

- RBT Initial Competency Assessment
- RBT 40-Hour Training Packet
- RBT Examination Pass Rates for 40-Hour Training Providers: 2020 report

### Examination

- RBT Task List (2nd ed.)
- Pearson VUE website
- Request for Accommodations

### **Ethics and Self-Reporting**

- RBT Ethics Code (2.0)
- Code-Enforcement Procedures
- Ethics Web Page
- Self-Reporting Web Page

### **Ongoing Supervision**

- Supervision Training Curriculum Outline (2.0)
- Instructions for Adding or Removing a Supervisee
- Noncertified RBT Supervisor Form

#### Renewal

- RBT Renewal Competency Assessment
- RBT Renewal Application

### Voluntary Inactive Status

- Voluntary Inactive Status Application RBT
- RBT Request to Return from Voluntary Inactive Status

### Administrative Appeals

• Administrative and Examination Appeal Request Form



# **Glossary**

RBT 40-Hour Training: All RBT applicants are required to complete a 40-hour training as one of the eligibility requirements for RBT certification.

**Applicant:** An individual pursuing certification.

Behavior-analytic Services: For RBTs, behavior-analytic services include performing tasks related to the RBT Task List (2nd ed.). The RBT's supervisor has the discretion to determine if they can competently perform activities outside of the Task List.

**Certification Application:** The initial application to apply for certification as an RBT after eligibility requirements have been met.

Client: Any recipient or beneficiary of behavior-analytic services.

Expiration Date: The annual anniversary date when an RBT became certified. RBTs are required to complete renewal requirements, including submitting an RBT renewal application and RBT Renewal Competency Assessment, by this date every year. RBTs may find this date in their BACB account and on the Certificant Registry.

**Inactive Status:** RBTs may be inactive for the following reasons:

- They are on voluntary inactive or reserve inactive status (i.e., they put a pause on maintaining their certification). To qualify for these options, RBTs must complete a Voluntary Inactive Status Application or request reserve active duty.
- · They are not currently supervised. RBTs without a qualified supervisor on record with the BACB are considered inactive.
- They did not renew their RBT certification and are within their reinstatement period.
- They have disciplinary sanctions against their certification.

RBTs with an inactive certification may not bill, practice, or represent themselves as active RBTs.

Noncertified Supervisor: An individual licensed in another behavioral health profession that has applied behavior analysis in its legislative scope of practice who is competent in applied behavior analysis. These individuals may only supervise RBTs with oversight from the RBT's Requirements Coordinator who is employed at the same organization as the licensed professional. The RBT Requirements Coordinator must complete and maintain the Noncertified RBT Supervisor Form for each noncertified supervisor.

RBT Ethics Code (2.0): The RBT Ethics Code (2.0) describes the ethics requirements for both RBTs and applicants. All RBTs and applicants must agree to abide by this code. Because RBTs are not independent practitioners and must receive ongoing supervision to practice, individuals who supervise RBTs must also be familiar with this code.

**RBT Initial Competency Assessment:** All applicants must demonstrate they can competently perform the tasks on the RBT Initial Competency Assessment as one of the requirements to qualify for the RBT certification. The Initial Competency Assessment must be completed within 90 days of applying and after the 40-hour training has been completed.

RBT Renewal Competency Assessment: All RBTs must annually demonstrate their ongoing proficiency in performing critical behavior technician skills as part of the requirement to maintain RBT certification. The RBT Renewal Competency Assessment must be completed within 45 days of an RBT's expiration date.

### **GLOSSARY**



RBT Requirements Coordinator: Some organizations with many RBTs and RBT Supervisors may designate one person to serve as a coordinator. The RBT Requirements Coordinator is responsible for ensuring that all RBTs in their organization meet all supervision requirements. The RBT Requirements Coordinator may also serve as the RBT Supervisor in addition to fulfilling their coordination duties. The RBT Requirements Coordinator must hold an active BCBA certification (see the Ongoing Supervision section for full qualifications).

RBT Supervisor: An individual responsible for an RBT's work. The RBT Supervisor must be certified as a BCBA or BCaBA or, if overseen by an RBT Requirements Coordinator, be licensed in another behavioral health profession (see the Ongoing Supervision section for full qualifications).

RBT Task List (2nd ed.): The RBT Task List serves as the basis for the RBT certification examination. It is organized into the following primary content areas: measurement, assessment, skill acquisition, behavior reduction, documentation and reporting, and professional conduct and scope of practice.

Reinstatement Period: RBTs who fail to complete all renewal requirements by the date their certification expires have 30 days after the expiration date to reinstate their certification, which includes paying a late fee.

Renewal Application: The application that is submitted to renew an RBT certification on an annual basis prior to the RBT's expiration date.



# **Appendix**

### **Application Processing Agreement**

The Behavior Analyst Certification Board (BACB) agrees to process this application subject to your agreement to the following terms and conditions:

- 1. You have read and agree to be bound by and comply with all BACB rules and requirements (which may be revised) as specified in the applicable BACB certification handbook(s) and on the BACB website relating to:
  - your application for initial certification,
  - your compliance with the relevant ethics code and code-enforcement procedures,
  - · the maintenance of your certification, and
  - · your application for renewal or recertification.
- 2. You will only convey truthful and accurate information to the BACB.
- 3. You must complete all requirements related to the BACB certification you are seeking or currently hold, including, but not limited to:
  - paying applicable fees (which are nonrefundable)
  - · demonstrating that you have met requirements for initial certification, maintaining certification, and renewal or recertification, as may be applicable
  - complying with applicable BACB ethics requirements
  - complying with all BACB documentation and reporting requirements
  - · reviewing and complying with any revised requirements. You will receive notice of revisions in at least one of the following mediums:
    - · the BACB Newsletter,
    - · the BACB website,
    - · an email from the BACB, or
    - a notice published in your BACB account.
- 4. You must check the email account you have on record with the BACB, review the BACB website, and review your BACB account on a monthly basis, as the BACB typically provides at least thirty (30) days' advance notice of updated requirements.
- 5. You authorize the BACB to publish or otherwise release the following information to governmental licensure boards or agencies, funders, professional associations, supervisors, mentors, and employers. You also authorize the BACB to publish the following information on the BACB website:
  - · certificant name
  - certification status (e.g., active, inactive, expired, suspended, revoked, practice restrictions, ineligible for certification)
  - · certificant geographic location
  - certification dates
  - · qualifications and willingness to supervise others
  - violations of ethics requirements, including, but not limited to:
    - · your name on a matter resulting in revocation of certification or eligibility, suspension of certification or eligibility, invalidation of certification, mandatory supervision, or other practice restrictions
    - · geographic region where the matter occurred
    - · code section(s) violated
    - year of disciplinary action(s)
    - interim or final action(s) imposed

### **APPENDIX**



Unless you choose to opt out, you may be contacted through the Certificant Registry and/or through the BACB mass email service.

Except as otherwise provided herein, it is the policy of the BACB not to release information provided in BACB applications (initial, renewal, and recertification) or contained in certificant records unless such information relates to a pending or final disciplinary, educational/coaching, or supervision matter; is requested by a governmental licensure board or agency, other governmental agency, or court of law; is necessary to conduct a disciplinary investigation or criminal background check; is necessary to provide feedback to a supervisor; or is otherwise properly subpoenaed.

It is the policy of the BACB to comply with all applicable privacy and publication laws. If you become aware that this agreement contravenes laws where you reside, you agree to immediately provide the BACB with written notice of the conflict. Once your information has been published on the BACB website or released outside of the BACB, the BACB is unable to assure removal or retrieval of the information.

- 6. You agree to hold the BACB harmless and to waive, release, and exonerate the BACB, its officers, directors, employees, committee members, and agents (referred to collectively as BACB personnel) from any claims that you may have against the BACB arising out of the BACB's review of this application or any future applications relating to eligibility for certification, recertification or renewal, reinstatement, conduct of the examination, disciplinary processing, issuance of a disciplinary decision, publication, or third-party disclosure in accordance with Clause 5 of this Agreement. Except as may be found to contravene the law, you waive any right to assert a claim against the BACB where you are a representative or member of a class or representative action; further, should you be permitted by law or court of law to proceed with a class or representative action, you shall not be entitled to recover attorneys' fees. Additionally, you agree to refrain from subpoenaing the BACB and BACB personnel in any legal proceeding.
- 7. Your communications with BACB personnel must be professional. You agree to use BACB-approved contact forms and processes for contacting BACB personnel. Communication from you or your representatives regarding a BACB-related matter or decision may not be made outside of the BACBapproved contact forms or processes, including, but not limited to, contacting personnel at their home, in their community, or at their place of employment (if employed outside of the BACB). You also agree that you will not engage in threatening, vexatious, harassing, and/or profane communications with BACB personnel. If you are sent a cease-and-desist notice from the BACB for any reason (e.g., engaging in harassing communication or unauthorized contact, misrepresentation of BACB-owned intellectual property), you agree to immediately comply with that cease-and-desist notice.
- 8. You acknowledge that this certification, if granted, indicates that you have met the BACB's requirements but does not warrant or guarantee your competence to provide professional services. You also indemnify the BACB from and against any liability that may arise from the BACB's issuance of your certification as it relates to your professional practice.
- You agree to abide by all BACB ethics requirements, Examination Terms and Conditions, Examination Rules, and any other testing-related requirements identified by the BACB and/or the BACB's testing vendor.
- 10. Should your application be approved, you are aware that you have a two-year authorization period (for the BCBA or BCaBA examination) or a one-year authorization period (for the RBT examination) in which to take and pass the examination. If you live outside of the US, Canada, Australia, or the United Kingdom and applied after January 1, 2021 (for the BCBA or BCaBA examination) or January 1, 2022 (for the RBT examination), your authorization period will automatically end on December 31, 2022.

### **APPENDIX**



The terms of this agreement include and incorporate by reference the Terms of Use and Privacy Policy published on the BACB website. This agreement survives expiration of your certification application and certification. This agreement is governed by the laws of the state of Colorado. As may be mutually agreed upon by you and the BACB, any disputes between you and the BACB may be resolved by binding, individual arbitration in Colorado with an arbitrator selected by mutual agreement of the parties. This dispute resolution provision will be governed by the Federal Arbitration Act and not by any state law concerning arbitration. In the event of a judgment against the BACB in a matter filed by or on behalf of you, you agree that damages or compensation payable or due to you by the BACB may not exceed any fees you have paid to the BACB in association with submitting this application.

Do you agree to be bound by all the terms of this Application Processing Agreement?

### **VERSION HISTORY AND UPDATES**



# Version History and Updates

### Version 4/8/2020 Updates

- · Minor text changes to enhance clarity
- Addition about jurisdiction for certificants (p. 1)
- Addition of examination reimbursement for US veterans (p. 7)
- Supervision section:
  - · Clarified that supervision requirements must be met at each organization where an RBT is working (p. 17)
  - · Provided a reminder that supervision needs to be provided in accordance with the RBT Ethics Code (2.0) and Ethics Code for Behavior Analysts (p. 17).
  - Clarified that supervision may not occur via phone or email (p. 18)
  - · Provided a reminder that Internet-based supervision must abide by applicable laws (p. 18)
  - Clarified that it is recommended that all RBT supervisors are on record with the BACB (p. 21)
  - · Added the requirement that supervision documentation must be maintained by the RBT and RBT Supervisor/RBT Requirements Coordinator for at least 7 years (p. 22). Note: this is not a new requirement.
- · Added the requirement that an RBT may not apply for voluntary inactive status again until they have completed one full renewal cycle after returning (p. 30). Note: this is not a new requirement.
- Added the acceptable signature policy in the handbook (p. 33), in addition to having it on the website, for ease of use by the applicant

### Version 7/7/2020 Updates

- Elaborated on what applicants/certificants can do if their application is not approved (p. 7 & 29)
- Added that a request for accommodation must be approved prior to scheduling an RBT certification examination (p. 11)
- Added updated Terms and Conditions for RBT certification examinations (p. 13)
- Added language addressing new retake application (p. 15)
- · Clarified that noncertified RBT Supervisors may only supervise RBTs with oversight from the RBT's Requirements Coordinator (p. 18)
- · Made minor edits to ensure language is consistent in the "Supervisor-client oversight" and "Public identification" sections (p. 21)
- Clarified that RBT Requirements Coordinators must be on record with the BACB by appearing on the Certificant Registry (p. 21)

### **VERSION HISTORY AND UPDATES**



### Version 2/18/2021 Updates

In addition to minor edits to enhance clarity, the following changes were made:

- · Added RBT Examination Pass Rates for 40-Hour Training Providers: 2020 report to the Training section (p. 4).
- · Added a section about ethics requirements and testing to the Examination section (p. 14).
- · Updated the General Guidelines for Taking the Examination Online via OnVUE section to include information about RBT remote-testing restrictions beginning on March 1, 2021 (p. 12).
- Moved the Pearson VUE cancelation fees to the Examination section (p. 10).
- · Clarified that current and former RBT Supervisors and RBT Requirements Coordinators may be audited in an RBT supervision audit (p. 22).
- Added the BACB's Documentation Policy (p. 33).
- · Updated the Application Processing Agreement with a note that those applying from countries that are not within the BACB's jurisdiction will have a shorter examination authorization period if they apply on or after January 1, 2022 (p. 37).
- Further clarified that the supervisor may not be in a multiple relationship with (e.g., personal or business relationship) the supervisee (p. 20).

### Version 5/7/2021 Updates

In addition to minor edits to enhance clarity, the following changes were made:

- Added a section for examination scoring and clarified that candidates may not cancel, reschedule, or receive a refund within 48 hours of a scheduled examination appointment.
- · Modified the About the Behavior Analyst Certification Board section to better define the role of the BACB.

### Version 9/16/2021 Updates

In addition to minor edits to enhance clarity, the following changes were made:

- Provided additional information about education requirements (p. 3).
- The Examination Outline section of the RBT Handbook has been updated to reflect the content distribution of the RBT Task List (2nd ed.), which was announced in our December 2018 BACB Newsletter (p. 8).

### Version 1/1/2022 Updates

In addition to minor edits to enhance clarity, the following changes were made:

- · Modified all references to the RBT Ethics Code to reflect the RBT Ethics Code (2.0) that went into effect on January 1, 2022.
- Added the Timely Responding to the BACB (p. 33) and Compassionate Appeals (p. 32) sections.
- Revised the Self-Reporting section (p. 24).
- Revised the Examination section, including the Examination Terms and Conditions (p. 13).

### **VERSION HISTORY AND UPDATES**



### Version 4/1/2022 Updates

In addition to minor edits to enhance clarity, the following changes were made:

- · Added that RBT applicants must pass a criminal background check and an abuse registry check
- · Specified that RBTs must have an RBT Supervisor or RBT Requirements Coordinator on record after reactivation (p. 31).
- Clarified audit documentation submission requirements (p. 23).
- Updated the Documentation Policy (p. 33).
- Reiterated that certain criminal or civil suits naming you must be self-reported within 30 days of you becoming aware of the suit (p. 27).
- Revised the Examination Development section (p. 8).
- Updated the Application Processing Agreement (p. 37).
- Added a clickable Table of Contents icon (I) to the corner of each page (p. ii).

### Version 7/15/2022 Update

• Added a link to the International FAQ blog in the Jurisdiction section (p. 1).

### Version 8/26/2022 Update

In addition to minor edits to enhance clarity, the following changes were made:

- Revised the RBT Supervisor Requirements section to better outline the differences between RBT Supervisors and RBT Requirements Coordinators (p. 18).
- Clarified the steps for returning from voluntary inactive status (p. 31).
- Added US military bases alongside testing sites that meet the security requirements necessary for the administration of high-stakes examinations (p. 10).
- Clarified the note about international focus changes in the Scheduling section (p. 10).
- Updated the Application Processing Agreement (p. 37).